# **Deep Learning Models for Wheat Diseases Detection and Recognition**

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**Abstract** - Wheat is a grass widely cultivated for its seed, a cereal that is a staple food around the world. However, cereal wheat is subject to many wheat diseases, including bacterial, viral and fungal diseases, as well as parasitic infestations. The need to use Deep Learning methods to identify automatically wheat diseases has become a challenge. In this paper, we proposed and compared two models based on Convolutional Neural Network (CNN) for wheat diseases detection and recognition. The convolutional layers of a CNN can be considered as matching filters derived directly from data images (images of healthy and unhealthy wheat). CNNs thus produce a hierarchy of visual representations optimized for our task. As a result of CNN training, a model is obtained - a set of weights and biases - which then responds to the specific task for which it was designed. One of the main strengths of CNNs is their ability to generalize, that is, the ability to process data never seen before. This allows a certain robustness to the heterogeneity of the background, to the image acquisition conditions and to the intra-class variability. A large image dataset of various wheat diseases, including healthy wheat, was used for training our models to learn, recognize and detect diseases and/or abnormalities in wheat.

Keywords: Deep learning, CNN, wheat diseases, classification

#### 1. Introduction

Cereal wheat is subject to many wheat diseases. The main diseases in temperate environments are as follows, in rough order of their impact from colder to warmer climates: eyespot, stagonospora nodorum leaf spot (also known as glume leaf spot), rust yellow or streaked, powdery mildew, septoria spot tritici (sometimes known as leaf spot), brown or leaf rust, fusarium head blight, brown spot, and black rust. In the tropics, spotted leaf spot (also known as helminthosporium leaf blight) is also prominent. These diseases according to the Food and Agriculture Organization of the United Nations (FAO) cause a loss from 20 % to 40 % of world food production, constituting a major threat to food security. On the other hand, the impact of climate change has resulted in increased changes in humidity, temperature and wind direction thus affecting wheat yield. Sometimes these changes, like the wind, can make it easier to spread diseases like leaf rust, stem rust, and yellow rust [1].

On the other hand, deep neural networks (DNNs) are applied in many practical problems. Thanks to their ability to learn, based on searching for similarities between objects and their generalization, they are able to deal with problems where a very accurate classification is required. Image recognition is one of the tasks in which DNN excel.

In the recent years, researches used deep learning methods for automatic wheat diseases identification. We established in table1 the summary review of previous works [2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12]. In our review study, we considered the following research questions: (a) the problem they answered, (b) the approach used, (c) the data sources used and (d) the obtained accuracy. We also recorded: (e) whether the authors compared their CNN-based approach with other techniques, and (f) what was the difference in performance.

This paper presents and compares two models for wheat diseases automatic detection and classification. We designed our two modesl, which we named Model\_1 based on CNN, and another Model\_2 based on the architectures of EfficientNetB7 [13]. Our models used transfer-learning of CNNs. Training and validation of our models were performed on a large wheat database built in this study.

|   | Agriculture<br>field | Description of the<br>problem  | Data used  | Precision                             | CNN<br>model<br>used                         | Comparison with other techniques  | Ref |
|---|----------------------|--|--|---------------------------------------|--|---|-----|
| 1 |                      | Identify 14 crop<br>species and 26<br>diseases                                     | PlantVillage's<br>public dataset of<br>54,306 images of<br>diseased and<br>healthy plant<br>leaves                               | 0.994<br>(F1)                         | AlexNet,<br>GoogleNet                        | Substantial margin in<br>standard benchmarks<br>with approaches<br>using hand-designed<br>features                | [2] |
| 2 |                      | Classify banana leaf<br>diseases   | Dataset of 3,700<br>banana disease<br>images obtained<br>from PlantVillage<br>Dataset  | More than<br>96 % (CA),<br>0,968 (F1) | LeNet  | Methods using<br>artisanal<br>functionalities are not<br>well generalized   | [3] |
| 3 | Detection of         | Deep learning<br>models for the<br>detection and<br>diagnosis of plant<br>diseases | Dataset of 87,848<br>images<br>containing 25<br>different plants in<br>a set of 58<br>distinct classes.                          | 99.53%<br>accuracy                    | Defined by<br>author                         | Several CNN models<br>have been trained,<br>with the best<br>performance reaching<br>a success rate of<br>99.53%. | [4] |
| 4 | plant diseases       | Identifying Rice<br>Diseases Using<br>Deep CNN                                     | Dataset of 500<br>natural images of<br>diseased and<br>healthy rice<br>leaves and stems,<br>for 10 common<br>rice diseases       | 95,48%<br>accuracy                    | Author-<br>defined<br>CNN-<br>based<br>model | N/A   | [5] |
| 5 |                      | On the use of<br>transfer learning for<br>the detection of<br>plant diseases       | PlantVillage<br>dataset which<br>contains 38<br>classes of 54,305<br>images of sick<br>and healthy<br>diseases for 14<br>species | 0.94 (F1)                             | ResNet50                                     | Better F1 scores than<br>Inception-v3 (0.93),<br>MobileNet (0.93),<br>DenseNet169 (0.93)                          | [6] |

#### Table. 1: Summary of the literature review

| 6  | Leaf disease<br>detection | 13 different types of<br>healthy plant and<br>leaf diseases                                     | Database created<br>by the authors<br>containing 4483<br>images  | 96.30%(CA)  | CaffeNet   | Better results than<br>SVM  | [7]  |
|----|---------------------------|---|--|---|--|---|------|
| 7  |                           | Recognition of corn<br>diseases based on<br>EfficientNet by<br>classification of leaf<br>images | 9279 images,<br>with 6496 images<br>for training data<br>and 2783 for<br>validation                      | 98.52%<br>accuracy and<br>1.48% loss  | EfficientN<br>et-b0<br>transfer<br>learning                          | Data increase due to<br>small data size.<br>Better results than<br>ResNet50 (96.76%),<br>Inception-v3<br>(93.9%), VGG16<br>(96.35%) | [8]  |
| 8  | Fruit counting            | Predict the number<br>of tomatoes in<br>pictures  | 24,000 synthetic<br>images produced<br>by the authors  | 91% (RFC)<br>1.16<br>(RMSE) on<br>real images,<br>93% (RFC)<br>2.52<br>(RMSE) on<br>synthetic<br>images | Inception,<br>ResNet   | Area Based Teachnic<br>(ABT) (66,16%<br>accuracy),<br>(RMSE = 13.56)  | [9]  |
| 9  |                           | Detection of<br>peppers and melons  | 122 images<br>obtained from<br>two modalities:<br>color (RGB) and<br>Near-Infred<br>(NIR)                | 0.838(F1)   | Fast<br>Regional<br>CNN with<br>VGG16<br>model                       | Conditional random<br>field to model visual<br>color and texture<br>characteristics (F1 =<br>0.807)                                 | [10] |
| 10 | Weed                      | Identify the thistle<br>in the images of<br>winter wheat and<br>spring barley                   | 4,500 images at<br>10, 20, 30 and 50<br>m altitude<br>captured by a<br>camera Canon<br>PowerShot G15     | 97,00 %<br>(CA)   | DenseNet   | (Based on the color<br>characteristics)<br>Thistle-Tool (95%)   | [11] |
| 11 | identification            | Pixel-close<br>classification of<br>weeds and crops in<br>images using a fully<br>CNN           | 301 soil images<br>and 8,430<br>segmented plant<br>images, Plants<br>cover 23 different<br>weed and corn | 94%<br>accuracy,<br>100%<br>detection<br>rate for corn<br>and weeds                                     | Modified<br>version of<br>the VGG-<br>16 and<br>transfer<br>learning | N/A   | [12] |

## 2. Materials and Methods

### 2.1. Wheat Disease Datase

Our dataset consists of a total of 7,540 images, divided into 8 classes - 7 wheat diseases classes and one class for healthy wheat images. The dataset englobes main diseases are as follows: stagonospora nodorum leaf spot (also known as glume leaf spot), rust yellow or streaked, powdery mildew, septoria spot tritici (sometimes known as leaf spot), brown or leaf rust, fusarium head blight, and loose smut. This dataset has been compiled from existing datasets namely PDDP - images datasets, Kaggle, Google Drive - Large Wheat Diseases Classification and Dataset. Our dataset was divided as follows: 80\% for

training models, 30\% for validation during training and we chose randomly 36 images for each class, to test the model's performance on new images data after training. This is summarized in Table 2.

| Table 2. Description of the wheat disease dataset |         |             |      |       |         |             |      |             |       |
|---|---------|-------------|------|-------|---------|-------------|------|-------------|-------|
|   | Healthy | Fusarium    | Leaf | Loose | Powdery | Spetoria    | Stem | Yellow      | Total |
|   |         | Head Blight | Rust | Smut  | Mildew  | Leaf Blotch | Rust | Stripe Rust |       |
| Training  | 894     | 251         | 1214 | 314   | 410     | 652         | 646  | 976         | 5252  |
| Validation  | 416     | 124         | 505  | 134   | 158     | 295         | 258  | 389         | 2252  |
| Test  | 4       | 5           | 8    | 5     | 7       | 4           | 5    | 4           | 36    |
| Total   | 1324    | 380         | 1727 | 453   | 575     | 951         | 909  | 1360        | 7540  |

Table 2: Description of the wheat disease dataset

#### 2.2. Image Preprocessing

To input the image dataset into the CNN model, the images had to be the same size (height and width) and the same format as the input image size required for each of our networks. To fit our images into the deep learning models, we converted each image to a three-dimensional tensor, that is, a length of 224, a width of 224, and a color channel of RGB. We used the Python Imaging Library (PIL) to do these conversions. On the other hand, EfficientNet, which uses noisy-student [14] type weights, does not require any preprocessing of the input images. Noisy Student is a semi-supervised learning approach that extends the idea of self-training and knowledge distillation.

#### 2.3. Proposed Method

We proposed two methods to learn wheat disease from the training database. Each method established a model, and used it to classify wheat images primarily into two main classes - healthy and unhealthy wheat. The unhealthy wheat images was then classified according to their respective disease type.

#### 2.3.1 Design of a transfer-learning model based on pre-trained models

We have chosen a number of different pre-trained CNN models to use as the basis for our transfer learning models. We explored models such as InceptionV3 [16], VGG16 [17], VGG19 [17], EfficientNet (B0 - B7) [13], and MobileNet [18]. We performed fine-tuning, added new layers, removing some layers and freezing layers on the pre-trained base models while doing hyperparameter searches to determine the number of layers to remove or freeze. We will only present the models that gave the best results based on the pre-trained EfficientNetB7 models.

#### 2.3.2 Model\_1

We created a sequential CNN model as a starting point from the simple model provided on the TensorFlow and added a few more layers to deepen the network. The summary of the model is given in Table 3. All parameters of all layers are trainable. The number of outputs (8) in the last dense layer (Dense\_3) corresponds to the number of classes in our dataset. We also used the sigmoid activation function for the last dense layer. Our model can have several correct answers and the sigmoid gives the probabilities for an image to belong to each of the classes, that is why we chose this one, that is to say that an image of a wheat plant may have one or more diseases present.

#### 2.3.3 Model\_2

Noisy-student specific pre-trained weights exist for the Efficient Nets from B0 to B7, so we used the noisy-student-b7 weights to train our EfficientNetB7 model. We used the pre-trained weights from noisy-student-b7 and set all the layers so they could be trained. We have added a pooling layer of the overall average. The last layer is a dense layer with 8 as the number of outputs and a sigmoid activation function. The summary of the simplified model is presented in Table 4.

| Layer   | Туре           | Kernels | Kernel size | stride    | Activation | Parameters |  |
|---|----------------|---------|-------------|-----------|------------|------------|--|
| 0   | Conv2D (Input) | 16      | 3*3         | 'same'    | ReLU       | 448        |  |
| 1   | MaxPooling2D   | -       | 2*2         | 'default' | -          | 0          |  |
| 2   | Conv2D         | 32      | 3*3         | 'same'    | ReLU       | 4640       |  |
| 3   | MaxPooling2D   | -       | 2*2         | 'default' | -          | 0          |  |
| 4   | Flatten        | -       | -           | -         | -          | 0          |  |
| 5   | Dense_1        | 512     | -           | -         | ReLU       | 51380736   |  |
| 5   | Dropout        | 512     | -           | -         | -          | 0          |  |
| 6   | Dense_2        | 256     | -           | -         | ReLU       | 131328     |  |
| 7   | Dense_3        | 8       | -           | -         | sigmoid    | 2056       |  |
| Total params: 51,519,208<br>Trainable params: 51,519,208<br>Non-trainable params: 0 |                |         |             |           |            |            |  |

#### Table 3: Model\_1 Summary

Table 4: Model\_2 Summary

| Layer   | Туре           | Kernels  | Activation | Parameters |  |  |  |
|---|----------------|----------|------------|------------|--|--|--|
| 0   | ResNet50 (base | 7*7*2560 | -          | 64097687   |  |  |  |
|   | model)         |          |            |            |  |  |  |
| 1   | Global Average | 2560     | -          | 0          |  |  |  |
|   | Pooling        |          |            |            |  |  |  |
| 3   | Dense          | 8        | sigmoid    | 20488      |  |  |  |
| Total params: 64,118,175<br>Trainable params: 63,807,448<br>Non-trainable params: 310,727 |                |          |            |            |  |  |  |

#### 3. Experimental Results

#### **3.1 Training Results**

#### 3.1.1 Model\_1

The TPU training took about 45 minutes to complete the 400 epochs and achieve convergence. As shown in Figure 1-a and Figure 1-b, training resulted in unstable incremental improvement. The precision and loss of validation ceased to have significant improvement after 300 and 175 epochs respectively. The accuracy and training loss seem to keep improving, but we stopped the model anyway because we are interested in the validation values as they can indicate whether an overfit or underfit has occurred. The precision and the validation loss obtained are respectively 0.7723 and 0.0793.

#### 3.1.2 Model\_2

The model took 40 epochs to converge. The TPU training took about 7 minutes. As the precision and loss graphs below show, training had an unstable incremental improvement. The precision and loss of validation stopped improving significantly after 15 and 10 epochs, respectively. The accuracy and validation loss achieved were 0.8696 and 0.1100 respectively. Figure 2 shows the training analysis of model\_2.



Fig. 1: Training analysis of Model\_1.



Fig. 2: Training analysis of Model\_2.

#### **3.2 Classification Results**

## 3.2.1 Model\_1

This model achieved a validation precision (CA) of 0.7723 during training. The results obtained in reported in Table 5, observations and interpretations are summarized in Table 6.

#### 3.2.2 Model\_2

This model achieved a validation precision (CA) of 0.8696 during training. The results obtained in reported in Table 7, observations and interpretations are summarized in Table.

|                          | Precision | Recall | F1-score | Validation Data | Train Data |
|--------------------------|-----------|--------|----------|-----------------|------------|
| Fusarium Head Blight (a) | 0.6693    | 0.6855 | 0.6773   | 124             | 251        |
| Healthy Wheat (b)        | 0.8470    | 0.9183 | 0.8812   | 416             | 894        |
| Leaf Rust (c)            | 0.7316    | 0.7663 | 0.7485   | 505             | 1214       |
| Loose Smut (d)           | 0.8560    | 0.7985 | 0.8263   | 134             | 314        |
| Powdery Mildew (e)       | 0.7045    | 0.3924 | 0.5041   | 158             | 410        |
| Septoria Leaf Blotch (f) | 0.5796    | 0.6542 | 0.6146   | 295             | 652        |
| Stem Rust (g)            | 0.6699    | 0.8101 | 0.7333   | 258             | 646        |
| Yellow Stripe Rust (h)   | 0.6503    | 0.8843 | 0.7495   | 389             | 976        |
| Micro avg                | 0.7093    | 0.7762 | 0.7413   | 2279            | 5252       |
| Macro avg                | 0.7135    | 0.7387 | 0.7168   | 2279            | 5252       |
| Weighted avg             | 0.7142    | 0.7762 | 0.7376   | 2279            | 5252       |
| Samples avg              | 0.7576    | 0.7811 | 0.7628   | 2279            | 5252       |
| CA = 0.7723              |           |        |          |                 |            |

## Table 5: Model\_1 Classification Results

## Table 6: Observations and interpretations for Model\_1

| Observations   | intepretations  |
|--|---|
| Micro avg > Macro avg for recall and F1  | - Bias towards the most populous classes.   |
| Micro, Macro avg recall > Macro, Micro avg<br>precision  | - The model tends to find as many positive instances<br>as possible rather than returning the predicted<br>positive instances.  |
| Class (a) has the worst precision, recall and F1 scores.   | <ul> <li>It is the least populated class</li> <li>Lack of more data images prevented the model from<br/>fully learning this class during training</li> </ul>  |
| $\mathbf{CA} > \mathbf{Micro}, \mathbf{Macro}$ , Weighted avg for précision                        | - The model has more accuracy than precision  |
| The precision is significantly lower than the recall<br>for classes (e), (f) and (g).              | <ul> <li>An indication that the model has less predictive<br/>power for these classes but that it is more efficient in<br/>predicting positives which are currently positive (than<br/>predicted positives).</li> </ul> |
| AUC-ROC significantly lower than AUC-ROCs of<br>Macro avg and Micro avg.                           | - The probability that the model ranks a randomly<br>chosen positive instance higher than a randomly<br>chosen negative instance is low for these classes.  |
| The ROC curves for classes (c), (e), (f) are well<br>below the ROC Micro avg and Macro avg curves. | - These classes will have significantly lower predictive accuracies at different <b>FPR</b> or fasle alarm thresholds.  |

|                          | Precision | Recall | F1-score | Validation Data | Train Data |
|--------------------------|-----------|--------|----------|-----------------|------------|
| Fusarium Head Blight (a) | 0.7171    | 0.8790 | 0.7899   | 124             | 251        |
| Healthy Wheat (b)        | 0.9010    | 0.8966 | 0.8988   | 416             | 894        |
| Leaf Rust (c)            | 0.6731    | 0.8970 | 0.7691   | 505             | 1214       |
| Loose Smut (d)           | 0.9912    | 0.8433 | 0.9113   | 134             | 314        |
| Powdery Mildew (e)       | 0.8769    | 0.7215 | 0.7917   | 158             | 410        |
| Septoria Leaf Blotch (f) | 0.8491    | 0.6678 | 0.7476   | 295             | 652        |
| Stem Rust (g)            | 0.8188    | 0.8760 | 0.8464   | 258             | 646        |
| Yellow Stripe Rust (h)   | 0.8464    | 0.8072 | 0.8263   | 389             | 976        |
| Micro avg                | 0.8040    | 0.8333 | 0.8184   | 2279            | 5252       |
| Macro avg                | 0.8342    | 0.8236 | 0.8226   | 2279            | 5252       |
| Weighted avg             | 0.8188    | 0.8333 | 0.8196   | 2279            | 5252       |
| Samples avg              | 0.8232    | 0.8366 | 0.8262   | 2279            | 5252       |
|                          |           | CA = 0 | 8696     |                 |            |

## Table 7: Model\_2 Classification Results

| Table 8: | Observations | and interpretations | for Model_2 |
|----------|--------------|---------------------|-------------|
|          |              |                     |             |

| Observations  | Interpretations   |
|---|---|
| Macro, Micro, Weighted avg for recall and<br>precision almost equal to that of F1 scores                            | - This indicates a harmonic balance between recall<br>and precision, i.e. there is a balance between classes<br>and the model shows less bias towards a particular<br>class.  |
| CA is a little higher than Micro avg, Macro avg,<br>Weighted avg for accuracy.                                      | - Indication that the model is slightly more exact than precise   |
| Class (d), (e), (f) has much more precision than recall.  | - The model, for class (a), is good at selecting<br>positive instances from its positive predictions, but<br>fails to do the same from all instances that are<br>currently positive.  |
| class (a), (c), (g) have significantly higher recall than precision   | - For these classes, the model is very good at<br>predicting the greatest number of positive instances<br>from instances that are currently positive, but it is bad<br>at predicting positive instances from its predictions. |
| AUC-ROC for class (c) significantly lower than all<br>classes and AUC-ROC averages                                  | - Although the probability that the model is able to<br>rank a randomly chosen positive instance higher than<br>a randomly chosen negative instance is high, its<br>performance for class (c) is low.                         |
| The ROC curves for classes (d), (f), are<br>significantly lower than the ROC curves for Micro<br>avg and Macro avg. | <ul> <li>These classes will have significantly lower<br/>predictive accuracies at different FPR or fasle alarm<br/>thresholds.</li> </ul>   |

## 4. Conclusion

In this work, we have proposed two models two based on the CNN and EfficientNetB7, respectively. These models was was able to recognize the wheat disease automatically and effectively. We used the transfer learning and fine-tuning approach for both models.

Our models classify the wheat disease images into eight classes (seven for wheat diseases and one for healthy wheat). We detailed the dataset images used in this study and how we processed and prepared the images for training. Our models can correctly detect diseases in wheat, accurately and over time.

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